

### UNITED STATES DEPARTMENT OF COMMER **Patent and Trademark Office**

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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR

LM41/1002

STEVEN A SWERNOFSKY THE LAW OFFICES OF STEVEN A SWERNOFSKY POST OFFICE BOX 390013 MOUNTAIN VIEW CA 94039-0013

**EXAMINER** 

PAPER NUMBER

**DATE MAILED:** 

10/02/00

Please find below and/or attached an Office communication concerning this application or

Commissioner of Patents and Trademarks

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SWERNOFSKY LAW GROUP



proceeding.

	,	
Office Action Summary	Application No.	Applicant(s)
	09/093,533	MALCOLM ET AL.
	Examiner	Art Unit
	Mary D. Wang	2771
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply		
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.		
<ul> <li>Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.</li> <li>If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.</li> <li>Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).</li> <li>Status</li> </ul>		
1)⊠ Responsive to communication(s) filed on <u>12 July 2000</u> .		
2a)⊠ This action is FINAL. 2b)□ This action is non-final.		
3) Since this application is in condition for allowance except for formal matters, prosecution as to the ments is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.		
Disposition of Claims		
4)⊠ Claim(s) <u>15-55</u> is/are pending in the application.		
4a) Of the above claim(s) is/are withdrawn from consideration.		
5) Claim(s) is/are allowed.		
6)⊠ Claim(s) <u>15-55</u> is/are rejected.		
7) Claim(s) is/are objected to.		
8) Claims are subject to restriction and/or election requirement.		
Application Papers		
9) The specification is objected to by the Examiner.		
10)☐ The drawing(s) filed on is/are objected to by the Examiner.		
11)☐ The proposed drawing correction filed on is: a)☐ approved b)☐ disapproved.		
12) The oath or declaration is objected to by the Examiner.		
Priority under 35 U.S.C. § 119		
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C.   § 119(a)-(d).		
a) ☐ All b) ☐ Some * c) ☐ None of the CERTIFIED copies of the priority documents have been: 1. ☐ received.		
2. received in Application No. (Series Code / Serial Number)		
3. received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).		
* See the attached detailed Office action for a list of the certified copies not received.		
14) Acknowledgement is made of a claim for domestic priority under 35 U.S.C. & 119(e).		
Attachment(s)		
<ul> <li>15)  Notice of References Cited (PTO-892)</li> <li>16)  Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>17)  Information Disclosure Statement(s) (PTO-1449) Paper No(s) 20</li> </ul>	19) Notice of Informal I	/ (PTO-413) Paper No(s) Patent Application (PTO-152)

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### **DETAILED ACTION**

# Information Disclosure Statement

1. The information disclosure statement filed 6/20/00 fails to comply with 37 CFR 1.98(a)(1), which requires a list of all patents, publications, or other information submitted for consideration by the Office.

### Claim Rejections - 35 USC § 112

2. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

3. Claims 15-55 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

With respect to independent claims 15, 23, 31, 39 and 47-55 recite "-- maintaining said network objects in a cache memory in a network cache --". According to this description, a corresponding structure of figure has drawn on page 4. Accordingly, such description is not described in either specification or any of the drawings.

The remaining claims are rejected for incorporating the errors of their respective base claims by dependency.

4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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5. Claims 15-55 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

With respect to independent claim 15, recites "-- maintaining said network objects in a cache memory in a network cache, said cache memory including mass storage; wherein said step of maintaining substantially minimizes a time required for said network cache to retrieve a network object from said cache memory". According to this description, a corresponding figure has drawn on page 4. Since the network object in the cache memory and the cache memory in the network cache, how is the time required for retrieving a network object from said cache memory able to be minimized?

The remaining independent claims are rejected for the similar reason as independent claim 15. Thus, all dependent claims are rejected for incorporating their respective base claims by dependency.

### Conclusion

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

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shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

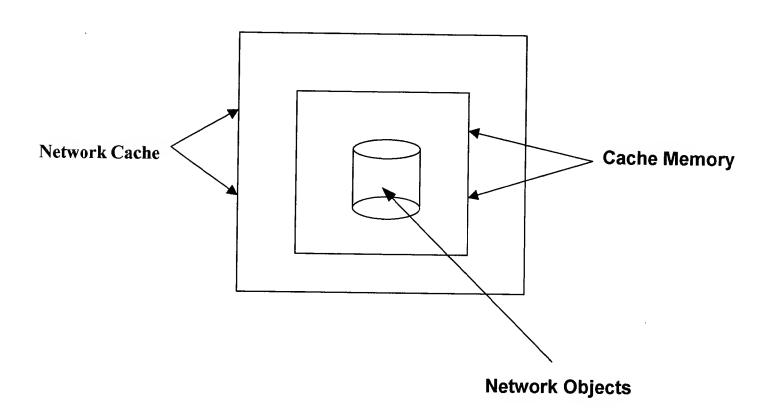


Figure 1

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### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mary Wang whose telephone number is (703) 305-0084. The examiner can normally be reached Monday – Thursday from 8:00 AM to 5:30 PM. The examiner can also be reached on alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas Black, can be reached at (703) 305-9707. The fax number for this group is (703) 308-6306.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose number is (703) 305-3900.

## Any response to this action should be mailed to:

Commissioner of Patents and Trademarks Washington, D. C. 20231

or faxed to:

(703) 308-9051 (for formal communications intended for entry)

or:

(703) 305-9724 (for informal or draft communications, please label "PROPOSED" or " DRAFT")

Hand-delivered responses should be brought Crystal Drive, Arlington, VA., Sixth Floor (Receptionist).

Mary Wang Patent Examiner Art Unit 2771 September 28, 2000

THOMAS G. BLACK
UPERVISORY PATENT EXAMINER
OF THE PROJECT OF THE P

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